

A MODERN SECURITY STRATEGY CHECKLIST

#	ITEMS	
1	Endpoint Protection: Advanced tools that secure every device tied to your firm	
2	Managed Firewalls: Configured specifically for financial services and actively monitored	
3	Compliance Logging: Real-time audit trails aligned with PCI, SEC, and FINRA requirements	
4	Phishing and User Training: Engaging simulations and education to strengthen your human layer	
5	Vulnerability Management: Ongoing scans to find and fix issues before attackers do	
6	24/7 Monitoring and Response: Around the clock detection and incident resolution	